

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

**Daikichi FUKUSHIMA et al**  
**CONTINUATION**

Conf. No.: **0000**

Appln. No.: **of 09/700,397**

Group Art Unit in Parent: **1634**

Filed: **September 9, 2003**

Examiner in Parent: **Chakrabarti, A.**

For: **NOVEL POLYPEPTIDES, CDNA ENCODING  
THE SAME AND UTILIZATION THEREOF**

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form which are all the references of record in parent application No. 09/700,397. Applicants are not submitting duplicate copies of these references, but request that they be listed on the face of any patent granted on the above application (see 37 C.F.R. §1.98(d)).

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

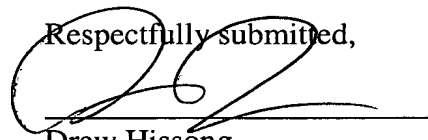
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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Respectfully submitted,

  
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Registration No. 44,765

Date: September 9, 2003

Substitute for Form 1449 A & B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	CONT of USSN 09/700,397
				Confirmation Number	0000
				Filing Date	September 10, 2003
				First Named Inventor	Daikcihi FUKUSHIMA et al
				Art Unit	1634
				Examiner Name	Chakrabarti, A.
Sheet	1	of	1	Attorney Docket Number	Q77131

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		Huang et al, <i>Cancer Res.</i> , 59:2981-2988 (1999)	
		Struyk et al, <i>J. Neurosci.</i> , 15(3):2141-2156 (1995)	
		Spaltmann et al, <i>J. Neurosci.</i> , 16(5):1770-1779 (1996)	
		Shark et al, <i>Gene</i> , 155(2):213-217 (1995)	
		Schfield et al, <i>EMBO J.</i> , 8(2):489-495 (1989)	
		Lippman et al, <i>Gene</i> , 117(2):249-254 (1992)	
		Wilson et al, <i>J. Cell Sci.</i> , 109(13):3129-3138 (1996)	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.